

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

JOSEPH VINCENT KAUTH,

Plaintiff,

v.

TULE RIVER TRIBAL POLICE
DEPARTMENT, et al.,

Defendants.

Case No.: 1:23-cv-01731-SKO (PC)

**FINDINGS AND RECOMMENDATIONS
TO DISMISS THIS ACTION FOR
PLAINTIFF'S FAILURE TO OBEY
COURT ORDERS AND FAILURE TO
PROSECUTE**

14-DAY OBJECTION PERIOD

Clerk of the Court to Assign District Judge

Plaintiff Joseph Vincent Kauth is proceeding pro se and *in forma pauperis* in this civil rights action pursuant to 42 U.S.C. § 1983.

I. RELEVANT BACKGROUND

Plaintiff filed his complaint on December 18, 2023. (Doc. 1.)

On February 21, 2024, this Court issued its First Screening Order. (Doc. 6.) The Court found the complaint fails to state a claim upon which relief can be granted. (*Id.* at 3-6.) Plaintiff was granted leave to file a first amended complaint, curing the deficiencies identified in the order, or, alternatively, a notice of voluntary dismissal, within 21 days. (*Id.* at 6-7.) Plaintiff has failed to file a first amended complaint or a notice of voluntary dismissal, and the time for doing so has now passed.

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1 **II. DISCUSSION**

2 **A. Legal Standards**

3 The Local Rules, corresponding with Federal Rule of Civil Procedure 11, provide,
4 “[f]ailure of counsel or of a party to comply with . . . any order of the Court may be grounds for
5 the imposition by the Court of any and all sanctions . . . within the inherent power of the Court.”
6 Local Rule 110. “District courts have inherent power to control their dockets” and, in exercising
7 that power, may impose sanctions, including dismissal of an action. *Thompson v. Housing Auth.*,
8 *City of Los Angeles*, 782 F.2d 829, 831 (9th Cir. 1986). A court may dismiss an action based on a
9 party’s failure to prosecute an action, obey a court order, or comply with local rules. *See, e.g.*,
10 *Ferdik v. Bonzelet*, 963 F.2d 1258, 1260-61 (9th Cir. 1992) (dismissal for failure to comply with a
11 court order to amend a complaint); *Malone v. U.S. Postal Service*, 833 F.2d 128, 130-31 (9th Cir.
12 1987) (dismissal for failure to comply with a court order); *Henderson v. Duncan*, 779 F.2d 1421,
13 1424 (9th Cir. 1986) (dismissal for failure to prosecute and to comply with local rules).

14 In determining whether to dismiss an action, the Court must consider several factors:
15 (1) the public’s interest in expeditious resolution of litigation; (2) the Court’s need to manage its
16 docket; (3) the risk of prejudice to the defendants; (4) the public policy favoring disposition of
17 cases on their merits; and (5) the availability of less drastic sanctions. *Henderson*, 779 F.2d at
18 1423; *Carey v. King*, 856 F.2d 1439, 1440 (9th Cir. 1988).

19 **B. Analysis**

20 Plaintiff has failed to file a first amended complaint, or notice of voluntary dismissal, as
21 directed in the screening order. The Court cannot effectively manage its docket if Plaintiff ceases
22 litigating his case. Thus, the Court finds that both the first and second factors—the public’s
23 interest in expeditious resolution of litigation and the Court’s need to manage its docket—weigh
24 in favor of dismissal. *Carey*, 856 F.2d at 1440.

25 The third factor weighs in favor of dismissal since a presumption of injury arises from the
26 occurrence of unreasonable delay in prosecuting an action. *Anderson v. Air W.*, 542 F.2d 522, 524
27 (9th Cir. 1976). Here, the Court’s February 21, 2024, screening order provided Plaintiff with 21
28 days within which to file a first amended complaint or a notice of voluntary dismissal. More than

1 21 days have elapsed, yet Plaintiff has failed to comply with the Court's order. His inaction
2 amounts to an unreasonable delay in prosecuting this action resulting in a presumption of injury.
3 Therefore, the third factor—a risk of prejudice to defendants—also weighs in favor of dismissal.
4 *Carey*, 856 F.2d at 1440.

5 The fourth factor usually weighs against dismissal because public policy favors
6 disposition on the merits. *Pagtalunan v. Galaza*, 291 F.3d 639, 643 (9th Cir. 2002). However,
7 “this factor lends little support to a party whose responsibility it is to move a case toward
8 disposition on the merits but whose conduct impedes progress in that direction.” *In re*
9 *Phenylpropanolamine (PPA) Products Liability Litigation*, 460 F.3d 1217, 1228 (9th Cir. 2006)
10 (citation omitted). By failing to file a first amended complaint or a notice of voluntary dismissal,
11 or to otherwise contact the Court, Plaintiff is not moving this case forward and is impeding its
12 progress. Thus, the fourth factor—the public policy favoring disposition of cases on their
13 merits—weighs in favor of dismissal. *Carey*, 856 F.2d at 1440.

14 Finally, the Court's warning to a party that failure to obey the court's order will result in
15 dismissal satisfies the “considerations of the alternatives” requirement. *Ferdik*, 963 F.2d at 1262.
16 Here, the Court's screening order warned: “**If Plaintiff fails to comply with this order, the**
17 **Court will recommend that this action be dismissed, without prejudice, for failure to obey a**
18 **court order and for failure to prosecute.**” (Doc. 6 at 7.) Additionally, in the Court's First
19 Informational Order in Prisoner/Civil Detainee Civil Rights Case, issued December 18, 2023,
20 Plaintiff was advised, in relevant part: “In litigating this action, the parties must comply with this
21 Order, the Federal Rules of Civil Procedure (“Fed. R. Civ. P.”), and the Local Rules of the United
22 States District Court, Eastern District of California (“Local Rules”), as modified by this Order.
23 Failure to so comply will be grounds for imposition of sanctions which may include dismissal of
24 the case. Local Rule 110; Fed. R. Civ. P. 41(b).” (Doc. 3 at 1.) Thus, Plaintiff had adequate
25 warning that dismissal could result from his noncompliance. Therefore, the fifth factor—the
26 availability of less drastic sanctions—also weighs in favor of dismissal. *Carey*, 856 F.2d at 1440.

27 In sum, Plaintiff has failed to comply with the Court's orders, and in doing so, has failed
28 to prosecute this action. Whether Plaintiff has done so intentionally or mistakenly is

1 inconsequential. It is Plaintiff's responsibility to comply with the Court's orders and to prosecute
2 this action. The Court declines to expend its limited resources on a case that Plaintiff has chosen
3 to ignore.

4 **III. CONCLUSION AND RECOMMENDATIONS**

5 The Court **DIRECTS** the Clerk of the Court to assign a district judge to this action.

6 For the reasons set forth above, the Court **RECOMMENDS** that this action be
7 **DISMISSED** without prejudice for Plaintiff's failure to obey court orders and failure to
8 prosecute.

9 These Findings and Recommendations will be submitted to the district judge assigned to
10 this case, pursuant to 28 U.S.C. § 636(b)(1). **Within 14 days** of the date of service of these
11 Findings and Recommendations, a party may file written objections with the Court. The
12 document should be captioned, "Objections to Magistrate Judge's Findings and
13 Recommendations." Failure to file objections within the specified time may result in waiver of
14 rights on appeal. *Wilkerson v. Wheeler*, 772 F.3d 834, 839 (9th Cir. 2014) (citing *Baxter v.*
15 *Sullivan*, 923 F.2d 1391, 1394 (9th Cir. 1991)).

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17 IT IS SO ORDERED.

18 Dated: March 20, 2024

/s/ Sheila K. Oberto
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UNITED STATES MAGISTRATE JUDGE